
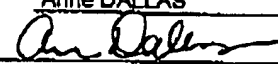




DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)	
Title of Invention	POLYNUCLEOTIDES CAPABLE OF TARGET-DEPENDENT CIRCULARIZATION AND TOPOLOGICAL LINKAGE
As the below named inventor(s), I/we declare that:	
This declaration is directed to:	
<input type="checkbox"/> The attached application, or	
<input checked="" type="checkbox"/> Application No. <u>PCT/US2004/020589</u> , filed on <u>June 25, 2004 (Int'l)</u>	
<input type="checkbox"/> as amended on _____ (if applicable);	
I/we believe that I/we are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;	
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;	
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office, an information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.	
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.	

FULL NAME OF INVENTOR(S)	
Inventor one:	<u>Sergei A. KAZAKOV</u>
Signature:	<u></u> Citizen of: <u>US</u>
Inventor two:	<u>Anne DALLAS</u>
Signature:	<u></u> Citizen of: <u>US</u>
Inventor three:	<u>Tai-Chih KUO</u>
Signature:	<u></u> Citizen of: <u>Taiwan ROC</u>
Inventor four:	<u>Brian H. JOHNSTON</u>
Signature:	<u></u> Citizen of: <u>US</u>
<input type="checkbox"/> Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto.	

Attorney Docket No.: 387592000500

pa-1001155

PTO/SB/81 (01-06)

Approved for use through 12/31/2008. OMB 0851-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM</b>	<b>Application Number</b>		10/561,691	
	<b>Filing Date</b>		June 25, 2004 (Int'l)	
	<b>First Named Inventor</b>		Sergei A. KAZAKOV	
	<b>Title</b>		POLYNUCLEOTIDES CAPABLE OF TARGET-DEPENDENT CIRCULARIZATION AND TOPOLOGICAL LINKAGE	
	<b>Art Unit</b>		Not Yet Assigned	
	<b>Examiner Name</b>		Not Yet Assigned	
	<b>Attorney Docket No.</b>		367592000500	

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number: 25226

OR

☐ Practitioner(s) named below:

Name	Registration Number	Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name:

**Address**

City	State	Zip	
Country	Telephone	Email	

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature	<i>Brian Johnston</i>	Date	5/10/06
Name	BRIAN JOHNSTON	Telephone	831 426 7700
Title and Company	CEO, SOMAGENICS		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 forms are submitted.

PTO/SB/98 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Sergei A. KAZAKOV et al.Application No./Patent No.: 10/561,691 Filed/Issue Date: June 25, 2004 (Int'l)Entitled: POLYNUCLEOTIDES CAPABLE OF TARGET-DEPENDENT CIRCULARIZATION AND TOPOLOGICAL LINKAGE

Somagenics, Inc., a corporation  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
 in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
 [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Brian Johnston  
 Signature

BRIAN JOHNSTON  
 Printed or Typed Name

CEO  
 Title

5/10/06  
 Date

831/426/7700  
 Telephone Number

Attorney Docket No.: 367592000500

**ASSIGNMENT  
JOINT**

**COPY**

THIS ASSIGNMENT, by Sergei A. KAZAKOV, Anne DALLAS, Tai-Chih KUO and Brian H. JOHNSTON (hereinafter referred to as the assignors), residing at 909 University Avenue, Apartment 17, Los Gatos, California 95032, 41 Grandview Street, Apartment 1108, Santa Cruz, California 95060, Floor 2, No. 36, 57<sup>th</sup> Lane, 1<sup>st</sup> Section, Hsing-Shang S. Road, Taipei 106, Taiwan, Republic of China, and 251 Glen Vista, Scotts Valley, California 95066, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in POLYNUCLEOTIDES CAPABLE OF TARGET-DEPENDENT CIRCULARIZATION AND TOPOLOGICAL LINKAGE, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/561,691 and filed on June 25, 2004 (Int'l); and

WHEREAS, Somagenics, Inc., a corporation duly organized under and pursuant to the laws of California and having its principal place of business at 2161 Delaware Avenue, Santa Cruz, California 95060 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and

Attorney Docket No.: J67592000300

defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.


AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.


04/28/06  
Date

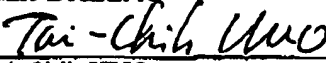
4/28/06  
Date

05/04/06  
Date

5/10/06  
Date

  
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pa-1061164

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